

# Stonnington Group

## Privacy Policy

Stonnington Group has developed a privacy policy in response to SEC Regulation S-P, which pertains to the protection of financial and personal customer information.

We will provide the policy to:

- a. New customers at the time an account is opened, including an “opt out” option;
- b. Our customers before information is provided to any nonaffiliated third party; and
- c. Existing customers on an annual basis.

All third-party agreements will be reviewed to conform to the requirements that the third party assure the safeguarding of information provided to them by Stonnington Group.

### PRIVACY POLICY

Stonnington Group is committed to safeguarding the confidential information of its clients. We hold all information provided to us in the strictest of confidence. We only disclose information to nonaffiliated third parties as required and permitted by law. Our policy with respect to personal information about you is presented below.

- **The Information We Collect About You.** The non-public personal information we collect about you comes primarily from you or your broker, when you apply for, access and use financial products and services offered by your broker. We may obtain additional information from consumer reporting agencies.
- **How We Protect Personal Information.** We limit employee and agent access to information to only those who need to know in order to provide service to you, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with our clearing agents in order to execute securities transactions on your behalf.) We maintain physical, electronic, and procedural safeguards that are designed to comply with federal standards to guard your information.
- **Our Disclosure Policies.** We do not disclose your information to anyone, except as permitted by law. This may include sharing your information with non-affiliated companies that perform support services for your account.
- **Opt Out Policy.** You may opt-out of the disclosure of non-public personal information to a non-affiliated third party by giving us notice in writing or via e-mail.

If our relationship ends, we will continue to handle your information as described in this policy.