

Stonnington Group

Business Continuity Plan Disclosure Statement

Stonnington Group, LLC has developed a Business Continuity Plan describing its response to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions is unpredictable, it will have to be flexible in responding to the actual events as they occur. Keeping this in mind, we are providing you with this information on our business continuity plan.

If after a significant business disruption you cannot contact us as you usually do at:

(818) 444-0600

nick@stonningtongroup.com

You should call our alternative number or go to our website:

(310) 614-1339

<http://www.stonningtongroup.com>

If you cannot access us through either of those means, you should contact the custodian(s) of your account(s). Please see custodian contact information below:

Pershing, LLC

(888) 860-8549

<http://www.pershing.com>

Fidelity Wealth Central

(800) 731-3357 – 5 digit code is 08022

<http://www.fidelitywealthcentral.com/>

Charles Schwab

(877) 711-9376

<http://www.schwabinstitutional.com/>

Each custodian has its own Business Continuity Plan to address the kind of services that will be available to our customers at the time of a Significant Business Disruption (“SBD”). In the event of an SBD, our custodians will provide services such as access to funds and securities, order entry, and cash and security transfers.

We plan to quickly recover and resume business operations and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery, all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical bank and counterparty impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business. Each custodian backs up its important records in geographically separate areas. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, our custodians maintain as their objective the ability to process transactions and payments promptly. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within three to four hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 1-2 days. In either situation, we plan to continue in business, transfer brokerage operations to our clearing firm if necessary, and notify you through our website www.stonningtongroup.com or our customer emergency number (310) 614-1339 regarding how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customers prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at:

(818) 444-0600
nick@stonningtongroup.com and/or
info@stonningtongroup.com